



CUSTOMER DUE DILIGENCE FOR A COMPANY / CLOSE CORPORATION

COMPANY / CLOSE CORPORATION		
1	<p>What is the Company or Close Corporation's name and registration number?</p> <p>(NOTE: THE CLIENT IS <u>NOT</u> THE PERSON FILLING IN THE FORM, BUT THE COMPANY OR CLOSE CORPORATION ON THE BEHALF OF WHICH THE FORM IS BEING FILLED)</p>	
2	<p>Does the Company or Close Corporation have a presence in South Africa (i.e. assets, operations or business premises) if "yes", please provide details.</p>	
3	<p>If the Company is a <u>listed</u> public Company, please indicate the stock exchange on which it is listed.</p>	
4	<p>If you are completing the CDD on behalf of the Company or Close Corporation, (i.e. representative) what is the UBO (Ultimate Beneficial Owner) full name?</p>	
5	<p>What is the source of your Authority to complete this CDD and deal with Broll on the Company or Close Corporation's behalf (i.e. Authorisation Letter, Power of Attorney, or similar instrument) ?</p>	
6	<p>What is <u>your</u> full name (i.e. the person completing the CDD on behalf of the Company or Close Corporation)?</p>	
7	<p>Please describe the type of service that the Company or Close Corporation seeks from Broll, and also the purpose for which that service is sought.</p>	



8	Will the Company or Close Corporation require Broll to perform the service in terms of a single Transaction (once off only) or in terms of a Business relationship (more than one transaction over a certain period of time) ?	
9	If the Company or Close Corporation requires a Business Relationship, how will any of the payments owed to Broll under the Business Relationship be financed?	
10	Will any of the payments referred to in the pervious question involve a payment by the Company or Close Corporation, or by its Representative, of R25 000 or more in cash (i.e. paper money, coins, traveller's checques)?	
11	Please describe the Company or Close Corporation's business (i.e. What industry is it in, what products / service it sells etc) .	
12	Who are the ultimate beneficial owners of the Company or Close Corporation?	
13	<p><u>Method 1</u></p> <p>Names of ultimate natural persons who individually or collectively own a majority (25%) of the Company's shares or Close Corporation's members interests</p> <p>OR</p> <p><u>Method 2</u></p> <p>Names of ultimate natural persons who individually or collectively control the Company or Close Corporation (i.e. have a material influence on the Company or Close Corporation's operations)</p> <p>OR</p> <p><u>Method 3</u></p> <p>Names of Company or Close Corporation's Executive Directors.</p> <p>(PLEASE FILL IN THIS ROW BASED ON THE AVAILABLE INFORMATION AND CONSIDERATIONS OF PRACTICALITY, CHOOSING THE MOST SUITABLE OF THE 3 GIVEN METHODS OF IDENTIFYING THE NATURAL PERSONS WHO BENEFIT FROM THE ASSEST AND INCOME OF THE COMPANY)</p>	



FOR OFFICE USE ONLY	
FULL NAME OF EMPLOYEE ANDMINISTERING CDD	
SIGNATURE	
DATE	
FULL NAME OF REVIEWER OF CDD	
SIGNATURE	
DATE	