



CUSTOMER DUE DILIGENCE FOR AN INDIVIDUAL / SOLE PROPRIETOR

INDIVIDUAL / SOLE PROPRIETOR	
1	What is your full name (i.e. the person completing this CDD Document?)
2	Are you a South African citizen / Permanent resident?
3	If you are dealing with Broll on behalf of another person (i.e. the Ultimate Beneficial Owner, what is the UBO's full name? (NOTE: EVEN IF YOU ARE ACTING ON BEHALF OF THE UBO, YOU ARE STILL THE CLIENT FOR PURPOSES OF FICA AND THIS QUESTIONNAIRE)
4	If you are dealing with Broll on behalf of a Principal, please indicate your authority to do so (i.e. authorisation letter, power of attorney, or a similar instrument) ?
5	Following your completion of this Questionnaire, will someone else deal with Broll on your behalf? (i.e. a Representative), what is that Representative's full name?
6	Please describe the type of service you seek from Broll, and also the purpose for which that service is sought.
7	Will you require Broll to perform the service in terms of a single Transaction (once off only) or in terms of a Business Relationship (more than one Transaction over a certain period of time) ?
8	If you require a Business Relationship, how will any payments owed to Broll under the Business Relationship be financed?

9	<p>Will any of the payments referred to in the previous question involve a payment by you or you Representative of R25 000 or more in cash (i.e. paper money, coins, travellers's cheques)?</p>	
10	<p>Do you now occupy, or have you in the past 12 months occupied, any of the following positions in any country other than South Africa? If "yes" please indicate the position that you occupy(ied)</p> <ol style="list-style-type: none"> (1) Head of State (2) Member of the Royal Family (3) Cabinet Member (4) Senior member of a Political Party (5) Senior judicial Officer (6) Senior Executive of a state-owned entity (7) High Rank in the Military 	
11	<p>If you responded "yes" to the previous question, please indicate the source of your wealth.</p>	
12	<p>Do you now occupy, or have you in the past 12 months occupied, any of the following positions in South Africa? If "yes" , please indicate that position that you occupy(ied).</p> <ul style="list-style-type: none"> - President or Deputy president of South Africa - Cabinet Minister or Deputy Minister - Premier of a Province - MEC of a Province - Mayor of a Municipality - Leader of a Political Party - Member of a Royal Family - Senior Traditional Leader - Head, accounting officer or CFO of a National or Provincial Department - Manager of CFO of a Municipality - Chairperson, CEO, Accounting Authority, CFO or Chief investment Officer of a public entity Judge - Ambassador, High Commissioner or other Senior representative of a Foreign Country based in South Africa - Chairperson of Board of Directors, Chairperson of Audit Committee, Executive officer or CFO of a Company doing more than R150 00's worth of Business with the Government 	
13	<p>If you responded "yes" to the previous question, please indicate the source of your wealth.</p>	



FOR OFFICE USE ONLY	
FULL NAME OF EMPLOYEE ANDMINISTERING CDD	
SIGNATURE	
DATE	
FULL NAME OF REVIEWER OF CDD	
SIGNATURE	
DATE	